

# BASE INVESTMENTS SICAV

Société d'Investissement à Capital Variable  
Siège social : 4, rue Robert Stumper, L-2557 Luxembourg  
R.C.S. Luxembourg N° B 82 127  
(the Fund)

(BY REGISTERED MAIL)

## CONVENING NOTICE

Dear Shareholder,

You are hereby kindly invited to assist at the Annual General Meeting of Shareholders (the "Meeting") of the Fund which will be held on **26 July 2021 at 11:00 a.m.** (Luxembourg time) at the Fund's registered office to deliberate and vote on the following agenda:

### AGENDA

1. Presentation of the Report on the Activities of the Fund for the financial year ended as at 31<sup>st</sup> March 2021;
2. Presentation of the Report from PricewaterhouseCoopers, Société Coopérative ("Réviseur d'Entreprises Agréé"), the Auditor of the Fund, for the financial year ended as at 31<sup>st</sup> March 2021;
3. Approval of the Audited Financial Statements for the financial year ended as at 31<sup>st</sup> March 2021;
4. Accumulation of the net result for the financial year ended as at 31<sup>st</sup> March 2021;
5. Discharge of the following Directors of the Fund from all their duties during, and in connection with, for the financial year ended as at 31<sup>st</sup> March 2021:
  - Massimo Paolo GENTILI, Chairman and Director,
  - Stefano ROGNA, Director,
  - Beat Viktor MEIER, Director,
  - Pietro SCIBONA, Director;
6. Renewal of the mandate of the following Directors until the Annual General Meeting of Shareholders of the Fund in 2022, which will approve the Audited Financial Statements of the financial year ending on 31<sup>st</sup> March 2022:
  - Massimo Paolo GENTILI, Chairman and Director,
  - Stefano ROGNA, Director,
  - Beat Viktor MEIER, Director,
  - Pietro SCIBONA, Director.
7. Payment of a Global Directors' Fee of a maximum amount of EUR 105.000 for the financial period from 1 January 2021 to 31 December 2021, giving authority to the Board of Directors to determine the allocation between the members of the Board of Directors;
8. Renewal of the mandate of PricewaterhouseCoopers, Société Coopérative ("Réviseur d'Entreprises Agréé") as Auditor of the Fund until the Annual General Meeting of Shareholders of the Fund in 2022, which will approve the Audited Financial Statements of the financial year ending on 31<sup>st</sup> March 2022.

\*\*\*

Please be informed that no *quorum* is required for the valid constitution of the Meeting and the resolutions will be passed by a simple majority of the votes of the shares present or represented at the Meeting. Each share has a voting right. Considering the current circumstances related to COVID-19 and the measures implemented by the EU governments and in particular the Grand Ducal Regulation dated 20<sup>th</sup> March 2020 (the Emergency Regulations) regarding meetings in companies, we decided that the Shareholders may vote only by proxy and we proposed to send all further communications to shareholders via electronic mail. In this view we invite you to provide your email address in the attached proxy form.

Please sign and date the enclosed proxy form and return it to Ms Claudia DE AMICIS, Edmond de Rothschild (Europe) at 4, rue Robert Stumper, L-2557 Luxembourg or by fax 00352 24 88 8491 or email: [c.deamicis@edr.com](mailto:c.deamicis@edr.com). To be valid, proxies should be received in Luxembourg by the Fund before **6:00p.m.** (Luxembourg time) on **25 July 2021**.

Shareholders wishing to receive copy of the Annual Report and Audited Financial Statements as at 31 March 2021 may download the document from the following website: [www.basesicav.lu](http://www.basesicav.lu) or address their request at the registered office of the Fund.

Luxembourg, 8 July 2021

Yours faithfully,  
The Board of Directors

**\*\*\*FOR THE NOMINEES USE ONLY\*\*\***

**PROXY**

The undersigned .....

.....

.....

being the holder of .... shares in **BASE INVESTMENTS SICAV** - ..... (Code ISIN: LU.....),

hereby appoints for all her/his/its shares, .....

as his/her/its Proxy with full power of substitution, or failing him/her, the Chairman of the Meeting, to represent the undersigned at the Annual General Meeting of shareholders (the "Meeting") of the Fund on **26 July 2021 at 11:00 a.m.** (*Luxembourg time*), to be held at the registered office of the Fund, 4 Rue Robert Stumper, L-2557 Luxembourg, (*and if applicable at any reconvened or adjourned Meeting to be held and to resolve on the same Agenda*) to vote on the following agenda:

	<b>AGENDA</b>	<b>In Favour of*</b>	<b>Against*</b>	<b>Abstain*</b>
1.	Presentation of the Report on the Activities of the Fund for the financial year ended as at 31 <sup>st</sup> March 2021	This item is not subject to vote		
2.	Presentation of the Report from PricewaterhouseCoopers, Société Coopérative (" <i>Réviseur d'Entreprises Agréé</i> "), the Auditor of the Fund, for the financial year ended as at 31 <sup>st</sup> March 2021	This item is not subject to vote		
3.	Approval of the Audited Financial Statements for the financial year ended as at 31 <sup>st</sup> March 2021	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Accumulation of the net result for the financial year ended as at 31 <sup>st</sup> March 2021	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Discharge of the following outgoing Directors of the Fund from all their duties during, and in connection with, the financial year ended as at 31 <sup>st</sup> March 2021: <ul style="list-style-type: none"> <li>- Massimo Paolo GENTILI, Chairman and Director,</li> <li>- Stefano ROGNA, Director,</li> <li>- Beat Viktor MEIER, Director,</li> <li>- Pietro SCIBONA, Director;</li> </ul>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
6.	Renewal of the mandate of the following Directors until the Annual General Meeting of Shareholders of the Fund in 2022, which will approve the Audited Financial Statements of the financial year ending on 31 <sup>st</sup> March 2022: <ul style="list-style-type: none"> <li>- Massimo Paolo GENTILI, Chairman and Director,</li> <li>- Stefano ROGNA, Director,</li> <li>- Beat Viktor MEIER, Director,</li> <li>- Pietro SCIBONA, Director;</li> </ul>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
7.	Payment of a Global Directors' Fee of a maximum amount of EUR 105.000 for the financial period from 1 January 2021 to 31 December 2021, giving authority to the Board of Directors to determine the allocation between the members of the Board of Directors;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

8.	Renewal of the mandate of PricewaterhouseCoopers, Société Coopérative (“Réviseur d’Entreprises Agréé”) as Auditor of the Fund, until the Annual General Meeting of Shareholders of the Fund in 2022, which will approve the Audited Financial Statements of the financial year ending on 31 <sup>st</sup> March 2022.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
----	---	--------------------------	--------------------------	--------------------------

**\* Please tick the box. If the shareholder does not express any preference, meaning tick any box, the vote will be recorded as blank vote.**

<p>The undersigned expressly agrees to be convened to the general meetings of shareholders and to receive any official corporate notices by electronic mail at the following address:</p> <p>PLEASE COMPLETE YOUR EMAIL ADDRESS IF YOU AGREE:</p> <p>_____</p>	<p>This item is not subject to vote</p>
--	---

**Resolutions will be passed by a simple majority of the votes cast. Votes cast shall not include votes in relation to shares represented at the meeting of shareholders in respect of which shareholders have not taken part in the vote or have abstained or have returned a blank or invalid vote.**

I/We hereby give and grant to the Proxy full power and authorization to do and perform all and every act or thing necessary or incidental to the exercise of the powers herein specified as might have been done or performed by me/us if I/we were personally present and I/we hereby ratify and confirm that the Proxy shall lawfully do or cause to be done by virtue thereof.

Dated this ..... day of ..... 2021  
Name (in block print): .....  
Signature: .....